



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

KEVIN P. PARKER, et al.

Application No. 10/755,039

Filed: February 9, 2004

For: STACK CONDITIONING
APPARATUS AND METHOD FOR USE IN
BOOKBINDING

Group Art Unit: 3722

Examiner: Unknown

**INFORMATION DISCLOSURE
STATEMENT**

400 Montgomery Street
Suite 1110
San Francisco, CA 94104
(415) 433-2250

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 18, 2005.

GIRARD & EQUITZ LLP

Date: 05/18/05

By: 

Jacob S. Zweig

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Sir:

Applicants submit herewith patents, publications or other information [attached hereto and listed on the attached Form PTO-1449 (modified)] of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

This Information Disclosure Statement:

- (a) ☐ before the mailing of the first Office Action, after the filing of a Request for Continued Examination, under §1.114.
- (b) ☐ is filed within three months after the filing date of the application or within three months after the date of entry of the national stage of a PCT application as set forth in 37 CFR § 1.491.
- (c) ☒ as far as is known to the undersigned, is filed before the mailing date of a first Office Action on the merits.

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(d) ☐ is filed after the first office action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of either a final rejection or a notice of allowance, whichever occurs first, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) **or** a certification as specified in 37 CFR § 1.97(e), as checked below.

(e) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, whichever occurred first, and the Issue Fee has not been paid, and is accompanied by the fee (\$130) set forth in 37 CFR § 1.17(i)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

[If either of boxes (d) or (e) are checked above, the following "certification" under 37 CFR § 1.97(e) may need to be completed.] The undersigned certifies that:

(f) ☐ Each item of information contained in the information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

(g) ☐ No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this information disclosure statement.

A list of the patent(s) or publication(s) is set forth on the attached Form PTO-1449 (Modified).

A copy of the items on PTO-1449 (Modified) is supplied herewith:

(h) ☐ each (i) ☐ none (j) ☒ only those listed below:
all non-patent document(s).

Those patent(s) or publication(s) which are marked with an asterisk (*) in the attached form PTO-1449 (Modified) are not supplied because they were previously cited by or submitted to the Office in a prior application no. , filed and relied upon in this application for an earlier filing date under 35 U.S.C. § 120.

A concise explanation of relevance of the items listed on form PTO-1449 (Modified) is:

- (k) ☒ not given
- (l) ☐ given for each listed item
- (m) ☐ given for only non-English language listed item(s)
[Required]
- (n) ☐ is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references [copy attached].

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98 and MPEP § 609 and the Examiner is respectfully requested to consider the listed references.

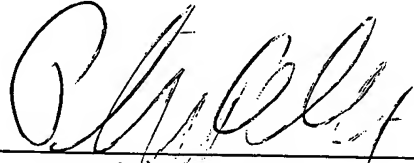
[X] The Commissioner is hereby authorized to charge our Deposit Account No. **50-1697** for any fees required in connection with the filing of this Information Disclosure Statement.

Respectfully submitted,

Dated:

May 18, 2005

By



Philip A. Girard
Registration No. 28,848
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Attorney for Applicant

Our File: PRKR-4600

Sheet 1 of 1

FORM PTO-1449 (Modified) (Rev. 7-80)		Dept. of Commerce Patent and Trademark Office		Atty Docket No. PRKR-4600		Appln. No. 10/775,039	
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)							
				Applicant(s) KEVIN P. PARKER, et al.			
				Filing Date February 9, 2004		Group 3722	
U.S. PATENT DOCUMENTS							
*Examiner Initials		Document Number	Date	Name	Class	Subclass	Filing Date
	AA	5,961,268	10/05/99	Erich Jäger	412	1	05/12/97
	AB	6,012,890	01/11/00	Victor Manuel Celorio Garrido	412	19	10/03/97
	AC	6,213,703	04/10/01	Victor Manuel Celorio Garrido	412	19	10/27/00
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
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FOREIGN PATENT DOCUMENTS							
*Examiner Initials		Document Number	Date	Country	Class	Subclass	Translation YES NO
	AN						
	AO						
	AP						
OTHER DOCUMENTS							
	AQ	InstaBook, "Book on Demand Binding", 1 page, downloaded from the Internet on February 10, 2004 from http://www.instabook.net/binding.html .					
	AR						
	AS						
Examiner					Date Considered		
* Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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Serial/Patent/Reg. No. 10/755,039

G & E File No.: PRKR-4600

By: PAG/jsz

In the Matter of the Application of: Kevin P. Parker, et al.

STACK CONDITIONING APPARATUS AND METHOD FOR USE IN BOOKBINDING

Date Mailed:

Due Date:

May 18, 2005

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

<input type="checkbox"/> Transmittal Letter	<input type="checkbox"/> Trademark (Use) Appln., Drawing and _____ Specimens
<input type="checkbox"/> Patent Application _____	<input type="checkbox"/> ITU Trademark Application and Drawing
_____ pgs. specification including _____ pgs claims	<input type="checkbox"/> _____ Request for Extension of Time (trademark)
<input type="checkbox"/> Drawings _____ sheets _____ formal _____ informal	(Notice of Allowance: _____)
<input type="checkbox"/> Oath/Declaration	<input type="checkbox"/> Statement of Use/Amendment to Allege Use
<input type="checkbox"/> Assignment w/ Form PTO-1595	<input type="checkbox"/> Section 8 and 15 Declaration
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Trademark Renewal Application and _____ Specimen
<input type="checkbox"/> Small Entity Declaration	<input type="checkbox"/> Opposition to _____
<input checked="" type="checkbox"/> Deposit Account Authorization (in duplicate)	<input type="checkbox"/> Petition for Cancellation
<input checked="" type="checkbox"/> Information Disclosure Statement, PTO-1449 w/ <u>1</u> references	<input checked="" type="checkbox"/> Certificate of Mailing
<input type="checkbox"/> Check \$ _____	Express Mail Certificate No. _____
<input type="checkbox"/> Amendment/Response	<input type="checkbox"/> Certificate under §3.73(b)
<input type="checkbox"/> Request for Extension of Time (_____ months)(patent)	<input type="checkbox"/> Certificate of Correction (PTO Form 1050)
<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Petition for _____
<input type="checkbox"/> Notice of Appeal	
<input type="checkbox"/> Appeal Brief (in triplicate)	<input checked="" type="checkbox"/> Other: <u>Return postcard</u>
<input type="checkbox"/> Letter to Official Draftsman	
<input type="checkbox"/> Issue Fee Transmittal	
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